

## Sample Triage Memo

Dick Smith Holdings Limited / DSHE Holdings Limited

<b>Matter reference</b>	IRIS-SAMPLE-DSHE-001
<b>Subject entity</b>	Dick Smith Holdings Limited / DSHE Holdings Limited
<b>ACN</b>	166 237 841
<b>ABN</b>	31 166 237 841
<b>Prepared by</b>	IRIS
<b>Date of review</b>	30 April 2026
<b>Status</b>	Public demonstration sample

*This sample was prepared from public and lawfully accessible sources for demonstration purposes only and was not prepared on client instructions.*

### Practitioner Snapshot

Field	Detail	Sources
<b>Subject entity</b>	Dick Smith Holdings Limited, later DSHE Holdings Limited.	[S02][S16][S22][S23]
<b>ACN / ABN</b>	ACN 166 237 841; ABN 31 166 237 841.	[S02][S22][S23]
<b>Known names</b>	DICK SMITH HOLDINGS LIMITED from 25 October 2013 to 3 June 2016; DSHE HOLDINGS LIMITED from 3 June 2016.	[S23]
<b>ASX code</b>	DSH.	[S02][S16]
<b>Public status overview</b>	Incorporated 25 October 2013; listed 4 December 2013; voluntary administration 4 January 2016; receivers and managers appointed 4 January 2016; creditors resolved to wind up on 25 July 2016; ASIC public notice later records status In Liquidation with secondary status Receiver and Manager Appointed; ABN cancelled from 28 October 2025.	[S03][S12][S13][S19][S22][S23][S26]
<b>Key appointment dates</b>	4 January 2016 voluntary administration; 4 January 2016 receivership; 25 July 2016 liquidation; 17 March 2021 class action settlement approval orders.	[S12][S13][S19][S29][S30]
<b>Public litigation located</b>	NSW Supreme Court class actions page for Findlay 2017/294069 and Mastoris 2018/52431; settlement materials also refer to a related insurance proceeding 2019/209326.	[S27][S28][S29][S30]
<b>Source set reviewed</b>	ASX prospectus, listing, governance and insolvency announcements; ABN Lookup current and history; ASIC published notice material; NSW Supreme Court class action page and orders; McGrathNicol NZ creditors page.	[S01][S02][S12][S19][S22][S23][S26][S27][S29][S30][S37]
<b>Not reviewed in this sample</b>	Paid ASIC extracts and document images; ASIC relational extract; PPSR organisation searches and certificates; land-title broker results; non-public court files; archived or client-held copy of the section 439A report; cross-jurisdictional registry pack.	[S31][S32][S33][S34][S35][S36]
<b>Record notes surfaced</b>	Official sources use different effective dates for the June 2016 name change; one appointee appears as William Harris in one ASX announcement and Jamie Harris in another; settlement orders approve settlement architecture across three related proceedings.	[S13][S14][S16][S23][S29][S30]

## What this sample demonstrates

- Public primary and official sources can establish entity identity, listing history, administration sequence, public litigation status and current public-record end-state without any legal conclusions.

[S01][S02][S03][S12][S19][S22][S23][S27][S29][S30]

- Cross-source inconsistencies can be surfaced transparently rather than guessed away, including the June 2016 name-change dates and the William Harris / Jamie Harris nomenclature variance.

- Public litigation can be handled procedurally and carefully, including a no-admissions settlement, approval orders and confidentiality constraints over some settlement materials.

- Paid or non-public source gaps can be identified before spend is approved, including ASIC extracts and document images, PPSR searches, court file inspection and cross-jurisdictional registry work.

[S31][S32][S33][S34][S35][S36][S37]

## Executive Summary

Publicly accessible sources establish that Dick Smith Holdings Limited ACN 166 237 841 was incorporated on 25 October 2013, lodged a replacement prospectus dated 21 November 2013, and was admitted to the ASX on 4 December 2013 under code DSH. On the same date it acquired Dick Smith Sub-Holdings Pty Limited through a corporate reorganisation and became the listed holding company of the operating group.

[S01][S02][S03][S23]

Public governance materials record board and executive changes during 2014 and 2015, including the appointments of Rob Murray and Michael Potts on 12 August 2014, Phil Cave's resignation and Rob Murray's appointment as chairman effective 28 February 2015, and Jamie Tomlinson's appointment as a director on 10 April 2015. AGM material dated 28 October 2015 records a board including Nicholas Abboud, Jamie Tomlinson, Michael Potts and other directors then in office.

[S04][S06][S10][S11]

Public insolvency materials record a rapid shift in January 2016 from listed company to external administrations. On 4 January 2016 the board announced voluntary administration. A separate announcement dated 5 January 2016 records the appointment on 4 January 2016 of Ferrier Hodgson partners as receivers and managers over the parent and its subsidiaries. The administrators' shareholder update records first creditors' meetings on 14 January 2016 and extension orders allowing second meetings to be held on or before 9 August 2016. Public sale materials then record the sale of the online business to Kogan.com, followed by the June 2016 company name change to DSHE Holdings Limited and a 4 July 2016 ASIC relief notice for half-year reporting. At the second meetings held on 25 July 2016, creditors resolved to appoint Joseph Hayes and Jason Preston as liquidators and to form a committee of inspection.

[S12][S13][S14][S15][S16][S17][S18][S19][S26]

The public record also supports three practitioner-useful note points. First, official sources carry three different dates around the June 2016 name change: ASIC registration on 2 June 2016, ABN history from 3 June 2016, and 23 June 2016 as the effective date for ASX purposes. Second, one appointee appears as William Harris in one ASX announcement and Jamie Harris in another. Third, the settlement materials for the Dick Smith class actions refer to a related insurance proceeding in addition to the Findlay and Mastoris proceedings listed on the NSW Supreme Court page. Those points are recorded, not reconciled by inference.

[S13][S14][S16][S23][S27][S28][S29][S30]

The public litigation record reviewed for this sample is procedural only. The NSW Supreme Court page identifies the Findlay and Mastoris class actions, while the settlement notice records an in-principle \$25 million settlement, subject to court approval, with defendants making no admissions as to liability. Orders dated 17 March 2021 approved the settlement and Settlement Distribution Scheme, appointed a settlement administrator, and provided for discontinuance on completion of administration of the scheme. Some settlement materials remain confidential under those orders. ABN Lookup now records the ABN as cancelled from 28 October 2025.

[S22][S27][S28][S29][S30]

## Timeline of material events

Date	Event	Sources
25 Oct 2013	Dick Smith Holdings Limited incorporated.	[S03][S23]
21 Nov 2013	Replacement prospectus dated and lodged.	[S01]
4 Dec 2013	Admitted to ASX under code DSH and official quotation commenced.	[S02]
4 Dec 2013	Acquired Dick Smith Sub-Holdings Pty Limited through corporate reorganisation.	[S03]
12 Aug 2014	Rob Murray and Michael Potts appointed to the board.	[S04]
28 Feb 2015	Phil Cave resigned; Rob Murray appointed chairman.	[S06]
10 Apr 2015	Jamie Tomlinson appointed as director.	[S10]
28 Oct 2015	AGM chairman's address records board then in office, including Jamie Tomlinson as chair of the Finance and Audit Committee.	[S11]
4 Jan 2016	Board announced voluntary administration.	[S12]
4 Jan 2016	Receivers and managers appointed over parent and subsidiaries, announced on 5 January 2016.	[S13]
5 Jan 2016	McGrathNicol NZ page records DSHNZ Limited court liquidation appointment.	[S37]
14 Jan 2016	First creditors' meetings held.	[S14]
15 Mar 2016	Sale of online business to Kogan.com announced.	[S15]
2 Jun 2016	ASIC recorded company name change to DSHE Holdings Limited.	[S16]
3 Jun 2016	ABN history records DSHE Holdings Limited from this date.	[S23]
23 Jun 2016	ASX-effective date for name change.	[S16]
4 Jul 2016	ASIC relief from half-year reporting obligations announced.	[S17]
13 Jul 2016	Second creditors' meetings convened for 25 July 2016; section 439A report link published.	[S18]
25 Jul 2016	Creditors resolved to wind up and appoint liquidators; committee of inspection formed.	[S19][S26]
23 Aug 2016	ASX suspension notice lists DSHE as already suspended in annual fee default notice.	[S20]
29 Aug 2016	Removal from official list effective from close of trading, announced on 30 August 2016.	[S21]
17 Mar 2021	Settlement and Settlement Distribution Scheme approved in class actions.	[S29][S30]
28 Oct 2025	ABN cancelled.	[S22][S23]

## Entity and appointment record

Publicly accessible sources identify Dick Smith Holdings Limited ACN 166 237 841 as the listed entity admitted to ASX on 4 December 2013 under code DSH, following a replacement prospectus dated 21 November 2013. On the listing date it acquired Dick Smith Sub-Holdings Pty Limited and became the listed holding company of the operating group. ABN history records the later entity name DSHE Holdings Limited from 3 June 2016, while the ASX change-of-name announcement records ASIC registration on 2 June 2016 and 23 June 2016 as the effective date for ASX purposes. [S01][S02][S03][S16][S22][S23]

Public governance materials reviewed here record the appointments of Rob Murray and Michael Potts on 12 August 2014, Phil Cave's resignation and Rob Murray's appointment as chairman effective 28 February 2015, and Jamie Tomlinson's appointment as a director on 10 April 2015. AGM material dated 28 October 2015 then records Nicholas Abboud, Jamie Tomlinson, Michael Potts and other directors in office. [S04][S06][S10][S11]

For external administrations, the board announced voluntary administration on 4 January 2016. A separate announcement dated 5 January 2016 records the appointment on 4 January 2016 of James Stewart, Ryan Eagle and Jim Sarantinos as receivers and managers. The administrators' shareholder update dated 23 February 2016 records the first meetings of creditors on 14 January 2016 and extension orders for the second meetings. Creditors later resolved on 25 July 2016 to appoint Joseph Hayes and Jason Preston as liquidators and to form a committee of inspection. ASIC published notice material reviewed subsequently records DSHE

and related entities as In Liquidation with a secondary status of Receiver and Manager Appointed and an appointed date of 25 July 2016. [S12][S13][S14][S19][S26]

Naming note: one voluntary administrator appears as William Harris in [S13] and Jamie Harris in [S14]. This sample records the variance only and does not infer beyond the public record.

## Litigation and public record

The public litigation record reviewed for this sample is procedural only. The NSW Supreme Court class actions page indexes the Findlay and Mastoris proceedings and related public pleadings and orders. The court-published settlement notice records an in-principle settlement of \$25 million, subject to court approval, and states that the defendants made no admissions as to liability. The settlement materials also refer to a related insurance proceeding number 2019/209326. Orders dated 17 March 2021 approved the settlement and Settlement Distribution Scheme, appointed a settlement administrator, and provided for discontinuance on completion of scheme administration. The orders also preserve confidentiality over specified settlement documents and opinions. Pleadings are treated as pleadings, not as findings. [S27][S28][S29][S30]

## Scope gaps and next-source checklist

On a live instruction, this checklist helps the practitioner decide which paid searches, registry extracts or court materials are worth ordering before further time or disbursements are committed.

Source category	Why it may matter on a live instruction	Sources
ASIC current company extract	Confirms current register details, officeholders, review dates and lodged document list.	[S31][S32]
ASIC current and historical company extract	Gives historical officeholder chronology, former addresses and status changes.	[S31][S32]
ASIC document images	Allows review of lodged forms, name-change paperwork, appointment notices and other filed documents.	[S31][S32]
ASIC relational extract	Builds a cleaner roles-and-relationships map across associated entities and officeholders.	[S31][S32]
PPSR organisation searches and certificates	Checks registered security interests against organisational identifiers and produces PPSR search certificates.	[S33][S34]
Court file inspection	Useful where procedural history, filed notices or non-indexed material matters.	[S27]
NSW LRS or broker property searches	Relevant only if asset tracing, land dealings or property-side recovery issues arise.	[S35][S36]
NZ registry and court search pack	Helps confirm the related NZ entity sequence and insolvency position more fully.	[S37]
Archived or client-held copy of section 439A report	Lets the practitioner verify recommendation narrative without relying on a stale public link.	[S18]

## Source register

Sources in this demonstration sample were accessed on 30 April 2026. Full source URLs are retained in the working source register and can be included in live briefs where required.

ID	Title	Type	Published	Accessed	Confidence
S01	Dick Smith Holdings Limited Replacement Prospectus	ASX PDF	21 Nov 2013	30 Apr 2026	Primary
S02	Admission and Commencement of Official Quotation	ASX market release	3 Dec 2013	30 Apr 2026	Primary
S03	Half-year financial report for 26 weeks ended 29 Dec 2013	ASX PDF	18 Feb 2014	30 Apr 2026	Primary
S04	Appointment of Directors and Company Secretary	ASX announcement	12 Aug 2014	30 Apr 2026	Primary
S06	Chairman Transition	ASX announcement	2 Mar 2015	30 Apr 2026	Primary
S10	2015 Notice of Meeting	ASX PDF	16 Sep 2015	30 Apr 2026	Primary
S11	2015 AGM: Chairman's Address	ASX announcement	28 Oct 2015	30 Apr 2026	Primary
S12	Voluntary Administration	ASX announcement	4 Jan 2016	30 Apr 2026	Primary
S13	Appointment of Receivers and Managers	ASX announcement	5 Jan 2016	30 Apr 2026	Primary
S14	Update to Shareholders	ASX announcement	23 Feb 2016	30 Apr 2026	Primary
S15	Dick Smith online business acquired by Kogan.com	ASX announcement	15 Mar 2016	30 Apr 2026	Primary
S16	Change of Company Name	ASX announcement	23 Jun 2016	30 Apr 2026	Primary
S17	Financial reporting relief announcement	ASX announcement	4 Jul 2016	30 Apr 2026	Primary
S18	Notice of second meetings and section 439A report link	ASX announcement	13 Jul 2016	30 Apr 2026	Primary
S19	Outcome of second meetings of creditors	ASX announcement	25 Jul 2016	30 Apr 2026	Primary
S20	Suspension from Official Quotation	ASX market release	23 Aug 2016	30 Apr 2026	Primary
S21	Removal from Official List, Annual Listing Fees	ASX market release	30 Aug 2016	30 Apr 2026	Primary
S22	Current details for ABN 31 166 237 841	ABN Lookup	current page	30 Apr 2026	Registry
S23	Historical details for ABN 31 166 237 841	ABN Lookup	current page	30 Apr 2026	Registry
S26	ASIC notice showing In Liquidation / Receiver Appointed	ASIC published notice	8 Dec 2017	30 Apr 2026	Registry
S27	Dick Smith Holdings Class Actions page	NSW Supreme Court	current page	30 Apr 2026	Registry
S28	Notice to Group Members of Proposed Settlement	NSWSC PDF	23 Dec 2020	30 Apr 2026	Primary
S29	Findlay orders dated 17 March 2021	NSWSC orders PDF	17 Mar 2021	30 Apr 2026	Primary

ID	Title	Type	Published	Accessed	Confidence
S30	Mastoris orders dated 17 March 2021	NSWSC orders PDF	17 Mar 2021	30 Apr 2026	Primary
S31	ASIC: Company and organisation registers	Official guidance	current page	30 Apr 2026	Secondary
S32	ASIC Connect: Fees and examples of paid products	Official guidance	current page	30 Apr 2026	Secondary
S33	PPSR: Fees for using the PPSR	Official guidance	current page	30 Apr 2026	Secondary
S34	PPSR: Do an organisation search	Official guidance	current page	30 Apr 2026	Secondary
S35	NSW LRS: Property information available for free	Official guidance	current page	30 Apr 2026	Secondary
S36	NSW LRS: How to find an information broker	Official guidance	current page	30 Apr 2026	Secondary
S37	Dick Smith NZ (DSHNZ Limited), Creditors	McGrathNicol NZ	current page	30 Apr 2026	Secondary page

## Limitations

This demonstration sample is confined to public and lawfully accessible sources reviewed as at the date of retrieval. It records what those sources establish about identity, status, appointments, public litigation and material public filings. It does not include paid ASIC extracts, ASIC document images, PPSR search certificates, land-title or broker results, non-public court file material, or any legal analysis. Where public sources differ on dates, naming or terminology, the discrepancy is recorded rather than resolved by inference unless a primary record clearly does so. [S16][S23][S31][S32][S33][S34][S35][S36][S37]

*IRIS provides factual research and public-record evidence collation for qualified professionals. Briefs are not legal advice, are not a legal opinion, and contain no recommendation as to how the law applies to the facts. Findings are limited to public and lawfully accessible sources at the date of retrieval; absence of a record is not proof of absence.*